Docket No.: 3364P145

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

YUN-HEE CHO, ET AL.

Application No.:

Filed:

For: System and Method for Operating Optical

Transponder

Art Group

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted concurrently with the Utility Application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

-2-

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Eric S. Hyman Reg. No. 30,139

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (310) 207-3800 Substitute for form 1449A/PTO

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

Complete if Known

Application Number

Filing Date

First Named Inventor Yun-Hee Cho

Art Unit

Examiner Name

Attorney Docket Number 3364P145

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶		
		KR1001501480000	06-11-1998	Samsung Electronics Co., Ltd.		X		
	† —	KR1020000010138	02-15-2000	Samsung Electronics Co., Ltd.		X		
		KR1020000037855	07-05-2000	Korea Electronics & Telecommunications Research Institute Korea Telecom		X		
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Examiner	Date
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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO		Complete if Known		
		Application Number		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Filing Date	
			First Named Inventor	Yun-Hee Cho
			Art Unit	
			Examiner Name	
Sheet	of	1	Attorney Docket Number	3364P145

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		"OCh-level OAM digital wrapper An Overhead Processing Model of digital wrapper for OCh-level OAM", Phototonics Conference 2002, 2 pages		
		"An Overhead Processing Model for OCh-level OAM", Y. Cho, et al., pages 289-291		

Examiner Signature	Date Considered	

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